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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|------------------|----------------|----------------------|------------------------|------------------|--|
| 09/653,281 | 08/31/2000 | Kevin L. Beaman | M4065.0278/P27899-0818 | 4745 | |
| 7. | 590 03/05/2003 | | | | |
| Thomas J D'Amico | | | EXAM | EXAMINER | |
| 2101 L Street N | • | | BOOTH, RI | CHARD A | |
| Washington, D | C 20037-1526 | | ART UNIT | PAPER NUMBER | |

2812

· DATE MAILED: 03/05/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | | (M | | |
|---|--|--|---|--|--|
| | Application No. | plicant(s) | | | |
| Advisory Action | 09/653,281 | BEAMAN ET AL. | | | |
| , .a., | Examiner | Art Unit | | | |
| | Richard A. Booth | 2812 | - | | |
| The MAILING DATE of this communication appe | ars on the cover sheet with the o | correspondence add | ress | | |
| THE REPLY FILED 07 February 2003 FAILS TO PLACE Therefore, further action by the applicant is required to average final rejection under 37 CFR 1.113 may only be either: (1) condition for allowance; (2) a timely filed Notice of Appeal Examination (RCE) in compliance with 37 CFR 1.114. | oid abandonment of this application at the comment which a timely filed amendment which | ation. A proper repl h places the applica | y to a ition in | | |
| PERIOD FOR RE | PLY [check either a) or b)] | | | | |
| a) The period for reply expires <u>3</u> months from the mailing date | • | | | | |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire to ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS 706.07(f). | ater than SIX MONTHS from the mailin FILED WITHIN TWO MONTHS OF TH | g date of the final rejecti HE FINAL REJECTION. | on. See MPEP | | |
| Extensions of time may be obtained under 37 CFR 1.136(a). The fee have been filed is the date for purposes of determining the period of fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of t (2).as.set-forth.in.(b).above, if-checkedAny-reply-received-by-the-Offic timely filed, may reduce any earned patent term adjustment. See 37 C | If extension and the corresponding amount the shortened statutory period for reply the later than three months after the mai | unt of the fee. The appropriate or the final | ropriate extension Office action; or | | |
| 1. A Notice of Appeal was filed on Appellant's 37 CFR 1.192(a), or any extension thereof (37 CFF | | | | | |
| 2. The proposed amendment(s) will not be entered be | ecause: | | | | |
| (a) they raise new issues that would require further | er consideration and/or search (s | see NOTE below); | | | |
| (b) they raise the issue of new matter (see Note b | elow); | | | | |
| (c) they are not deemed to place the application ir issues for appeal; and/or | better form for appeal by mate | rially reducing or sir | mplifying the | | |
| (d) they present additional claims without cancelling NOTE: | ng a corresponding number of fi | nally rejected claim | S. | | |
| 3. Applicant's reply has overcome the following rejection | on(s): | | | | |
| 4. Newly proposed or amended claim(s) would canceling the non-allowable claim(s). | be allowable if submitted in a se | eparate, timely filed | amendment | | |
| 5. ☑ The a) ☐ affidavit, b) ☐ exhibit, or c) ☑ request for application in condition for allowance because: See | | dered but does NO | T place the | | |
| 6. The affidavit or exhibit will NOT be considered becaraised by the Examiner in the final rejection. | ause it is not directed SOLELY t | o issues which were | e newly | | |
| 7. For purposes of Appeal, the proposed amendment explanation of how the new or amended claims we | | | and an | | |
| The status of the claim(s) is (or will be) as follows: | | | | | |
| Claim(s) allowed: NONE. | | | | | |
| Claim(s) objected to: NONE. | | | | | |
| Claim(s) rejected: <u>1-3,5-19,21-31 and 34-45</u> . | | | | | |
| Claim(s) withdrawn from consideration: | | | | | |
| 8. \square The proposed drawing correction filed on is a | a)□ approved or b)□ disapp | roved by the Exami | ner. | | |
| 9. Note the attached Information Disclosure Statemen | it(s)(PTO-1449) Paper No(s) | <u> </u> | | | |
| 10.⊠ Other: <u>note pto-892</u> | | | | | |
| | | Richard A. Booth Primary Examiner Art Unit: 2812 | | | |
| S Patent and Trademark Office | | 7.11 OTHE. EUTE | · · · · · · · · · · · · · · · · · · · | | |

Continuation of 5. does NOT place the application in condition for allowance because: there is no basis for applicant's statement that the "targeted" thickness in Wang is 50 angstroms. One of ordinary skill in the art would know through routine experimentation the time required to gain the optimum thickness. Concerning applicant's argument regarding the temperature of formation, only the last independent claim contains any mention of temperature and in any regard it would be obvious to determine the optimum temperature of deposition through a variety of factors.including particular constrains with respect to throughput, etc. and would not lend patentability to the instant invention absent the showing of unexpected results. Concerning the lack of atomic oxygen being mentioned, diatomic oxygen is used in Chang. However, during the oxidation process, diatomic oxygen will break down into atomic oxygen (see attached article). Concerning the arguments with respect to the Neely reference, the portion that applicant cites relates to the formation of a control gate while the portion of the Neely reference is with respect to forming the oxide layer and therefore this line of argument is also not persuasive.

RICHARD BOOTH PRIMARY EXAMIN'T

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